Deformation and Flexibility Equations
for ARIS Umbilicals Idealized as Planar Elastica

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January 2005
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The International Space Station relies on the active rack isolation system (ARIS) as the central component of an integrated, station-wide strategy to isolate microgravity space-science experiments. ARIS uses electromechanical actuators to isolate an international standard payload rack from disturbances due to the motion of the Space Station. Disturbances to microgravity experiments on ARIS-isolated racks are transmitted primarily via the ARIS power and vacuum umbilicals. Experimental tests indicate that these umbilicals resonate at frequencies outside the ARIS controller’s bandwidth at levels of potential concern for certain microgravity experiments. Reduction in the umbilical resonant frequencies could help to address this issue.

This work documents the development and verification of equations for the in-plane deflections and flexibilities of an idealized umbilical (thin, flexible, inextensible, cantilever beam) under end-point, in-plane loading (inclined-force and moment). The effect of gravity is neglected due to the on-orbit application. The analysis assumes an initially curved (not necessarily circular), cantilevered umbilical with uniform cross-section, which undergoes large deflections with no plastic deformation, such that the umbilical slope changes monotonically. The treatment is applicable to the ARIS power and vacuum umbilicals under the indicated assumptions.
## NOMENCLATURE

### Lower Case

<table>
<thead>
<tr>
<th>Symbol</th>
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<tbody>
<tr>
<td>(c_\xi)</td>
<td>Cosine of umbilical angle (\xi) at arbitrary point (R) on umbilical</td>
</tr>
<tr>
<td>(f, g)</td>
<td>Arbitrary functions</td>
</tr>
<tr>
<td>(s)</td>
<td>Distance along umbilical from cantilevered end (point (O))</td>
</tr>
<tr>
<td>(s_\xi)</td>
<td>Sine of umbilical angle (\xi) at arbitrary point (R) on umbilical</td>
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<tr>
<td>(y)</td>
<td>Position coordinate (for arbitrary point (R) on umbilical)</td>
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<td>Position coordinate for umbilical terminus (point (C))</td>
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<td>(z)</td>
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<td>(z_c)</td>
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<td>Convenience variable</td>
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<td>(\varsigma)</td>
<td>Initial (unloaded-umbilical) angle of tangent to umbilical at arbitrary point (R)</td>
</tr>
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<td>Initial (unloaded-umbilical) angle of tangent to umbilical at terminal point (C)</td>
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<td>Square of shape kernel</td>
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<td>(\theta)</td>
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<td>(\kappa^i_c)</td>
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<td>(\nu)</td>
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# Upper Case

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<thead>
<tr>
<th>Symbol</th>
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<tbody>
<tr>
<td>$C$</td>
<td>Umbilical terminus</td>
</tr>
<tr>
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<td>Integration constant</td>
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<td>Flexural rigidity</td>
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<td>Terminally applied moment about $x$-axis</td>
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<tr>
<td>$O$</td>
<td>Umbilical origin</td>
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<tr>
<td>$P_z$</td>
<td>Terminally applied force, negative $z$-direction</td>
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<td>$Q_y$</td>
<td>Terminally applied force, $y$-direction</td>
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<td>$Q_z$</td>
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<td>$R$</td>
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INTRODUCTION

The active rack isolation system (ARIS) serves as the central component of an integrated station-wide strategy to isolate microgravity space-science experiments on the International Space Station. ARIS uses eight electromechanical actuators to isolate an international standard payload rack from disturbances due to the motion of the Space Station. The full Space Station complement of ARIS racks is currently set at five. Disturbances to microgravity experiments on ARIS-isolated racks are transmitted primarily using the (nominally 13) ARIS umbilicals, which provide power, data, vacuum, cooling, and other miscellaneous services to the experiments. The two power umbilicals and, to a lesser extent, the vacuum umbilical, serve as the primary transmission path for acceleration disturbances. Experimental tests conducted by the ARIS team\(^1\) (December 1998) indicate that looped power umbilicals resonate at about 10 Hz; unlooped power umbilicals resonate at about 4 Hz. In either case, the ARIS controller’s limited bandwidth (about 2 Hz) admits only limited active isolation at these frequencies. Reduction in the umbilical resonant frequencies could help to address this problem.

Analytical studies of the nonlinear bending and deflection of a thin, flexible, inextensible, linearly elastic, fixed-end, cantilever beam (originally horizontal) have been conducted for a variety of loading conditions, including concentrated terminal transverse (vertical) loading\(^2\)–\(^6\); uniformly distributed vertical loading\(^2\),\(^7\)–\(^9\); uniformly distributed normal loading\(^10\); concentrated terminal inclined loading\(^11\),\(^12\); multiple concentrated vertical loads\(^13\); concentrated terminal vertical and moment loading\(^13\); and heavy, rigid, end-attachment loading.\(^14\) Reference 15 provided a set of nonlinear equations for the case of a weightless flexible beam with arbitrary, discrete, in-plane loads and boundary conditions. Typical exact solutions of beam deflections involve complete and incomplete elliptic integrals (for example, Refs. 2, 4–6, 13, 14). For literature reviews, see References 16–18.

For the special case of general terminal in-plane loading, which includes both inclined-force and moment loads, in-plane flexibility (or stiffness) equations are of particular interest toward umbilical design for microgravity-isolation purposes. The equations could be used to help optimize umbilical flexibilities and resonant frequencies for microgravity applications.

This work documents the development and verification of equations for the in-plane deflections and flexibilities of an initially straight, idealized umbilical under terminal in-plane loading (inclined-force and moment).\(^19\) The effect of gravity is neglected, a reasonable approximation for an on-orbit application. The deflection and flexibility analyses are applicable to a cantilevered umbilical which, when unloaded, is curved with a monotonically changing slope. The umbilical possesses a uniform cross-section, and undergoes large deflections with no plastic deformation, such that the slope of the loaded umbilical changes monotonically. The treatment is applicable to the ARIS power and vacuum umbilicals under the indicated assumptions.
PROBLEM STATEMENT

Consider an idealized, curved umbilical of length \( L \) with endpoints \( O \) and \( C \) and arbitrary intermediate point \( R \) (Fig. 1). The umbilical is idealized in the same sense as above: it is thin, flexible, inextensible, prismatic, linearly elastic, fixed-end, and cantilevered, with equal tensile and compressive moduli of elasticity \( E \). Let \( R \) be located at distance \( s \) along the umbilical, measured from the cantilevered end (point \( O \)), with coordinates \((y, z)\); the coordinates of point \( C \) are \((y_c, z_c)\). The coordinates have been chosen to be consistent with the coordinate system in use for the existing analyses of ARIS, for dynamic-modeling and controller-design purposes; point \( O \), then, is the umbilical point of attachment to the Space Station, and point \( C \) is the point of attachment to the ISPR. Initially, when the umbilical is relaxed (unloaded), let it be described by an “initial” curvature of \( \kappa'(s) \). If \( \varsigma \) is the angle, at \( R \), of the tangent to the umbilical, then this initial curvature is \( d\varsigma/ds \). When the umbilical is under load, let the tangent at \( R \) be \( \xi \); and let \( \xi_c \) represent the endpoint angle, at \( C \). Assume that \( \xi \) varies monotonically with distance \( s \), and that the flexural rigidity \( EI \) is known.

This research accomplishes the following fundamental tasks: 1) to derive geometric equations for the umbilical length, coordinates at arbitrary point \( R \), and terminal coordinates (at \( C \)); and 2) to use these equations to derive useful equations for the nine in-plane umbilical flexibilities. These 14 equations will be expressed in terms of the final slope function \( \xi(s) \), the terminal angle \( \xi_c \), the initial
(unloaded) curvature function $\kappa'(s)$, the unloaded terminal curvature $\kappa_c$, and arbitrary in-plane loads at $C$. The loads are as follows: forces $Q_y$ and $Q_z$ (assumed to be positive in the positive $y$- and $z$-directions, respectively), and moment $M_x$ (assumed to be positive in the counter-clockwise direction, about the positive $x$-axis).

**EQUATIONS OF UMBILICAL GEOMETRY**

At point $R$ the moment equation is

$$-M = M_x + Q_z (y_c - y) - Q_y (z_c - z) = EI \left( \frac{d^2 \xi}{ds^2} - \frac{d^2 \eta}{ds^2} \right).$$

(1)

Differentiating with respect to $s$, observing that

$$\frac{dy}{ds} = -\sin \xi,$$

(2)

and

$$\frac{dz}{ds} = \cos \xi,$$

(3)

and using the shorthand notation $s_\xi = \sin \xi$ and $c_\xi = \cos \xi$, one obtains

$$\frac{d^2 \xi}{ds^2} - \frac{d^2 \eta}{ds^2} = \frac{1}{EI} (Q_y s_\xi + Q_z c_\xi).$$

(4)

Integrating Equation (4) with respect to $s$ yields

$$\frac{1}{2} \left( \frac{d \xi}{ds} \right)^2 - \frac{1}{2} \left( \frac{d \eta}{ds} \right)^2 = \frac{1}{EI} (Q_y s_\xi + Q_z c_\xi) + C_1,$$

(5)

where $C_1$ is an integration constant. At point $C$, Equation (1) becomes

$$M_x = EI \left( \frac{d \xi}{ds} \left|_{R \rightarrow C} \right. \right),$$

(6)

leading to

$$\left. \frac{d \xi}{ds} \right|_{R \rightarrow C} = \frac{M_x}{EI} + \left. \frac{d \xi}{ds} \right|_{R \rightarrow C}.$$

(7)
Upon applying this boundary condition to Equation (5) and rearranging, one has

\[
C_i = \frac{1}{2} \left[ \left( \frac{M_s}{EI} \right) \left( \frac{d\zeta}{ds} \right)^2 - \left( \frac{d\xi}{ds} \right)^2 \right]_{R-\kappa}^{\rho-\kappa} - \frac{1}{EI} \left( Q_s s_{\xi} - Q_c c_{\xi} \right),
\]

such that Equation (5) can now be solved for \( d\xi/ds \):

\[
\frac{d\xi}{ds} = \pm \left\{ \frac{2}{EI} \left[ Q_y \left( s_{\xi} - \xi \right) - Q_z \left( c_{\xi} - \xi \right) + \left( \frac{M_s}{EI} + \kappa^i \right)^2 + \left( \kappa^i - \kappa^e \right)^2 \right] \right\}^{1/2} = \pm \eta^{1/2},
\]

where the radicand \( \eta \) is nonnegative:

\[
\eta = \frac{2Q_y}{EI} \left( s_{\xi} - \xi \right) - \frac{2Q_z}{EI} \left( c_{\xi} - \xi \right) + \left( \frac{M_s}{EI} + \kappa^i \right)^2 + \left( \kappa^i - \kappa^e \right)^2 \geq 0.
\]

Note that

\[
\frac{d\xi}{ds} \leq 0 \iff \frac{d\xi}{ds} = -\eta^{1/2},
\]

and that

\[
\frac{d\xi}{ds} \geq 0 \iff \frac{d\xi}{ds} = \eta^{1/2}.
\]

From Equation (9),

\[
ds = \frac{d\xi}{\pm \sqrt{\eta}}.
\]

Define, for convenience, a “shape kernel,”

\[
\theta = \pm \sqrt{\eta},
\]

where the sign is chosen from the physical considerations of Equations (11) and (12), such that

\[
ds = \theta^{-1} d\xi.
\]

(In particular, the upper sign applies when \( \frac{d\xi}{ds} \geq 0 \); the lower, when \( \frac{d\xi}{ds} \leq 0 \). This convention will be used throughout the remainder of the paper.) Equation (15) can be integrated to yield an expression for the umbilical length:

\[
L = \int_0^L ds = -\int_{s_0}^{2\pi} \theta^{-1} d\xi.
\]

From Equation (2),

\[
dy = -s_{\xi} ds = -\theta^{-1} s_{\xi} d\xi,
\]

such that

\[
y = \int_{\xi_0}^{2\pi} \theta^{-1} s_{\xi} d\xi = \int_{\xi_0}^{2\pi} \eta^{-1/2} s_{\xi} d\xi
\]

and

\[
y_c = \int_{\xi_c}^{2\pi} \theta^{-1} s_{\xi} d\xi = \int_{\xi_c}^{2\pi} \eta^{-1/2} s_{\xi} d\xi.
\]
Likewise, Equation (3) yields

$$z = -\int_{\xi_c}^{2\pi} \theta^{-1} \xi \, d\xi = \frac{\pi}{2} \int_{\xi_c}^{2\pi} \eta^{-1/2} \xi \, d\xi$$

(20)

and

$$z_c = -\int_{\xi_c}^{2\pi} \theta^{-1} \xi \, d\xi = \frac{\pi}{2} \int_{\xi_c}^{2\pi} \eta^{-1/2} \xi \, d\xi.$$

(21)

Together, Equations (16) and (18-21) describe the umbilical geometry as unique functions of the terminal angle $\xi_c$; the terminal loads $Q_y$, $Q_z$, and $M_z$; and the shape kernel $\theta$.

**VALIDATION (SPECIAL CASES)**

The umbilical geometric equations, (16) and (18) through (21), can be used to derive equations for umbilical in-plane flexibilities. First, however, it will be shown as a check of the mathematics that the geometric equations simplify in some special cases to known forms [13].

**Horizontal Cantilever with Vertical Point Load at Free End:**

Consider the case where $Q_y$ and $M_z$ are both zero; this is Frisch-Fay’s “basic strut” [13, p. 41].

Define, for convenience,

$$P_z = -Q_z.$$

(22)

Equation (16) becomes

$$L = \left( \frac{EI}{2P_z} \right)^{1/2} \int_{\xi_c}^{2\pi} \left( c_{\xi_c} - c_{\xi_c} \right)^{-1/2} \, d\xi,$$

(23)

which can be rewritten as

$$L = \left( \frac{EI}{8P_z} \right)^{1/2} \int_{\xi_c}^{2\pi} \left( \sin^2 \frac{\xi}{2} - \sin^2 \frac{\xi}{2} \right)^{-1/2} \, d\xi.$$

(24)

Let

$$p \equiv \sin \frac{\xi}{2}$$

(25)

and select $\phi$ such that

$$p \sin \phi = \sin \frac{\xi}{2}.$$

(26)

Taking the differential of the above,

$$p \cos \phi \, d\phi = \frac{1}{2} \cos \frac{\xi}{2} \, d\xi.$$

(27)

From Equations (25) and (26),

$$\sin^2 \frac{\xi_c}{2} - \sin^2 \frac{\xi}{2} = p^2 \left( 1 - \sin^2 \phi \right);$$

(28)

as $\xi$ varies from $\xi_c$ to $2\pi$, $\phi$ varies from $\frac{\pi}{2}$ to $\pi$. In this range,

$$- \cos \phi = \left( 1 - \sin^2 \phi \right)^{1/2}.$$

(29)
and
\[ -\cos\frac{\xi}{2} = \left(1 - p^2 \sin^2 \phi\right)^{1/2}, \] (30)

so that
\[ d\xi = \frac{-2p \cos \phi d\phi}{\left(1 - p^2 \sin^2 \phi\right)^{1/2}}. \] (31)

Finally, using Equations (28) and (31) in Equation (24), and simplifying, one obtains the following result:

\[ L = \frac{1}{k} K(p), \] (32)

where
\[ k^2 = \frac{P_z}{EI}. \] (33)

and
\[ K(p) = F(p, \pi / 2) = \int_0^{\pi / 2} \left(1 - p^2 \sin^2 \zeta\right)^{-1/2} d\zeta. \] (34)

\( K(p) \) and \( F(p, \phi) \), respectively, are Legendre’s complete and incomplete elliptic integrals of the 1st kind [13, p. 5]. Equation (32) is the solution previously reported in [13], page 41.

**Horizontal Cantilever with Horizontal Point Load at Free End:**

Consider next the case where \( Q_z \) and \( M_x \) are both zero. Equation (16) becomes

\[ L = \left(\frac{EI}{2Q_y}\right)^{1/2} \int_{\xi_c}^{2\pi} \left(s_\xi - s_{\xi_c}\right)^{-1/2} d\xi. \] (35)

Introduce \( \phi \) and positive parameter \( p \) such that \( p^2 \equiv (1 - \sin \xi_c)/2 \) (36)

and
\[ \sin \phi \equiv \left(\frac{1 - \sin \xi}{2p^2}\right)^{1/2}. \] (37)

Squaring the above yields
\[ 1 - \sin \xi = 2p^2 \sin^2 \phi. \] (38)

Taking the differential,
\[ \cos \xi d\xi = -4p^2 \sin \phi \cos \phi d\phi, \] (39)

so that
\[ d\xi = \frac{-4p^2 \sin \phi \cos \phi}{\left(1 - \sin^2 \xi\right)^{1/2}} d\phi. \] (40)

From Equation (38) one obtains
\[ 1 + \sin \xi = 2 \left(1 - p^2 \sin^2 \phi\right). \] (41)
Equations (38) and (41) together yield
\[
\left(1 - \sin^2 \xi\right)^{1/2} = 2p \sin \phi \left(1 - p^2 \sin^2 \phi\right)^{1/2}.
\] (42)

As \(\xi\) varies from \(\xi_c\) to \(2\pi\), \(\phi\) varies from \(\frac{\pi}{2}\) to \(\sin^{-1} \frac{1}{p\sqrt{2}}\). Using Equation (42) in (40) yields
\[
d\xi = -2p \cos \phi \frac{d\phi}{\left(1 - p^2 \sin^2 \phi\right)^{1/2}}.
\] (43)

Obtaining expressions for \(\sin \xi_c\) and \(\sin \xi\) from Equations (36) and (38), respectively, and substituting from these and Equation (40) into Equation (35), one obtains the following result:
\[
L = \left(\frac{EI}{Q_Y}\right)^{1/2} \int_{\pi/2}^{\pi/2} \left(1 - p^2 \sin^2 \phi\right)^{-1/2} d\phi,
\] (44)

where
\[
m = \sin^{-1} \frac{1}{p\sqrt{2}}.
\] (45)

In terms of elliptic integrals,
\[
L = \left(\frac{EI}{Q_Y}\right)^{1/2} [K(p) - F(p, m)],
\] (46)

the solution previously reported in [13], page 42.

**EQUATIONS OF UMBILICAL FLEXIBILITY**

**Nature of Dependencies on Flexural Rigidity EI**

It will now be shown that, for constant values of \(L\), \(\xi_c\), \(y_c\), and \(z_c\), that is, umbilical length and terminal geometry, the following expressions are also constants: \(\frac{Q_y}{EI}\), \(\frac{Q_z}{EI}\), and \(\frac{M_x}{EI}\). This will have important implications for umbilical shapes and flexibilities.

For convenience, define the following variables:
\[
\alpha_1 = \frac{Q_y}{EI},
\] (47)
\[
\alpha_2 = \frac{Q_z}{EI},
\] (48)
\[
\alpha_3 = \frac{M_x}{EI} + \kappa^i_c,
\] (49)
\[ \alpha_4 = \alpha_1 c_{\xi} + \alpha_2 s_{\xi}, \]  
and
\[ \alpha_5 = \left( \kappa \right)^2 - \left( \kappa_{\xi} \right)^2. \]

In the case of multiple umbilicals, let an additional subscript indicate the umbilical number: e.g., \( \alpha_{ij} \), \( \theta_j \), \( y_j \), \( y_{cj} \), \( \xi_{cj} \). These symbols will be used in the subsequent development.

Consider now two umbilicals of uniform cross section, with identical lengths and terminal geometries, but different flexural rigidities \( EI \) and (thus) different (nonzero) terminal loads. In particular,

\[ L_1 = L_2 = L > 0, \]  
\[ y_{c1} = y_{c2} = y_c, \]  
\[ z_{c1} = z_{c2} = z_c, \]

and
\[ \xi_{c1} = \xi_{c2} = \xi_c, \]

but
\[ (EI)_1 \neq (EI)_2. \]

Then
\[ L_1 = -\int_{\xi_c}^{2\pi} \theta_1^{-1} d\xi = -\int_{\xi_c}^{2\pi} \theta_2^{-1} d\xi = L_2, \]  
\[ y_{c1} = \int_{\xi_c}^{2\pi} \theta_1^{-1} s_{\xi} d\xi = \int_{\xi_c}^{2\pi} \theta_2^{-1} s_{\xi} d\xi = y_{c2}, \]  
and
\[ z_{c1} = -\int_{\xi_c}^{2\pi} \theta_1^{-1} c_{\xi} d\xi = -\int_{\xi_c}^{2\pi} \theta_2^{-1} c_{\xi} d\xi = z_{c2}, \]

where
\[ \theta_j \left( \xi_j \right) = \pm \sqrt{2\alpha_{ij} \left( s_{\xi} - s_{\xi_{cj}} \right) - 2\alpha_{2j} \left( c_{\xi} - c_{\xi_{cj}} \right) + \left( \alpha_{5j} + \alpha_{sj} \right)^2}. \]

From orthogonality of the constant (unity), sine, and cosine functions in Equations (57-59), respectively,

\[ \theta_1 = \theta_2. \]

That is, with uniform cross sections and identical umbilical attachment angles,

\[ \begin{cases} L_1 = L_2 \\ y_{c1} = y_{c2} \\ z_{c1} = z_{c2} \end{cases} \Rightarrow \theta_1 = \theta_2. \]

It can be shown by simple substitution that the “only if” statement of Equation (62) is, in fact, “if and only if” (\( \Leftrightarrow \)).

Using Equation (60), one can expand Equation (61) as follows:
\[
\theta_1 = \pm \sqrt{2\alpha_{11}(c_1 - c_2) - 2\alpha_{21}(c_2 - c_3) + (\alpha_{31}^2 + \alpha_{51})}
\]
\[
= \pm \sqrt{2\alpha_{12}(c_1 - c_2) - 2\alpha_{22}(c_2 - c_3) + (\alpha_{32}^2 + \alpha_{52})} = \theta_2.
\]

Squaring and applying orthogonality as before, one concludes that

\[
\theta_1 = \theta_2 \Rightarrow \begin{cases} 
\alpha_{11} = \alpha_{12} \\
\alpha_{21} = \alpha_{22} \\
\alpha_{31}^2 - \alpha_{51} = \alpha_{32}^2 - \alpha_{52}
\end{cases}.
\]

(64)

Again, it can be shown by simple substitution that this relationship is actually if and only if.

In summary,

\[
\begin{aligned}
L_1 &= L_2 \\
y_{e_1} &= y_{e_2} \\
z_{e_1} &= z_{e_2}
\end{aligned} \Leftrightarrow \theta_1 = \theta_2 \Leftrightarrow 
\begin{cases} 
\alpha_{11} = \alpha_{12} \\
\alpha_{21} = \alpha_{22} \\
\alpha_{31}^2 - \alpha_{51} = \alpha_{32}^2 - \alpha_{52}
\end{cases}.
\]

(65)

If the umbilicals also have identical initial curvatures, that is, for

\[
\kappa_1'(s) = \kappa_2'(s) \quad \forall \ 0 \leq s \leq L,
\]

one has that

\[
\alpha_{51}(s) = \alpha_{22}(s),
\]

such that

\[
\begin{aligned}
L_1 &= L_2 \\
y_{e_1} &= y_{e_2} \\
z_{e_1} &= z_{e_2}
\end{aligned} \Leftrightarrow \theta_1 = \theta_2 \Leftrightarrow 
\begin{cases} 
\alpha_{11} = \alpha_{12} \\
\alpha_{21} = \alpha_{22} \\
\alpha_{31} = \pm \alpha_{32}
\end{cases}.
\]

(68)

Equation (68) can be strengthened further as follows.

From Equation (7),

\[
\alpha_3 = \left. \frac{dz}{ds} \right|_{s = c^*}.
\]

(69)

Also, from Equations (9) and (14),

\[
\theta_1 = \theta_2 \Leftrightarrow \left( \frac{dz}{ds} \right)_1 = \left( \frac{dz}{ds} \right)_2 \quad \forall \ 0 \leq s \leq L.
\]

(70)

Therefore, for \(\theta_1 = \theta_2\), one can conclude that

\[
\alpha_{31} = \pm \alpha_{32} \Leftrightarrow \alpha_{31} = \alpha_{32},
\]

(71)

In conclusion, if the two umbilicals have identical initial curvatures, Equation (65) reduces to the following:

\[
\begin{aligned}
L_1 &= L_2 \\
y_{e_1} &= y_{e_2} \\
z_{e_1} &= z_{e_2}
\end{aligned} \Leftrightarrow \theta_1 = \theta_2 \Leftrightarrow 
\begin{cases} 
\alpha_{11} = \alpha_{12} \\
\alpha_{21} = \alpha_{22} \\
\alpha_{31} = \alpha_{32}
\end{cases}.
\]

(72)
Equations (70) and (72) have the following physical significance: for a slender, terminally loaded umbilical (assuming only in-plane loading) that has a uniform cross section; a given, monotonically varying initial (i.e., relaxed) curvature; and a specified terminal geometry; changing the flexural rigidity by an arbitrary factor \( \gamma \) changes all terminal loads by the same factor without changing the umbilical shape. The implications for in-plane umbilical flexibilities will be explored in the next section.

**FLEXIBILITY EQUATIONS**

For a given terminal geometry \((\xi_c, y_c, \text{and } z_c)\), one can now differentiate Equations (16), (19), and (21) with respect to the three terminal loads to yield expressions for the nine in-plane translational flexibilities. For convenience define the following "flexibility integrals":

\[
\begin{align*}
\beta_1 &= \int_{\xi_c}^{2\pi} \theta^{-3} d\xi, \\
\beta_2 &= \int_{\xi_c}^{2\pi} \theta^{-3} c_\xi d\xi, \\
\beta_3 &= \int_{\xi_c}^{2\pi} \theta^{-3} s_\xi d\xi, \\
\beta_4 &= \int_{\xi_c}^{2\pi} \theta^{-3} c_\xi^2 d\xi, \\
\beta_5 &= \int_{\xi_c}^{2\pi} \theta^{-3} c_\xi s_\xi d\xi, \\
\beta_6 &= \int_{\xi_c}^{2\pi} \theta^{-3} s_\xi^2 d\xi,
\end{align*}
\]

where, from Equations (10) and (14),

\[
\theta = \pm \sqrt{2\alpha_1 (s_\xi - s_{\xi_c}) - 2\alpha_2 (c_\xi - c_{\xi_c}) + \alpha_4^2}.
\]

According to Leibnitz’ Rule,

\[
\frac{\partial}{\partial x} \int_{f(x)}^{g(x)} F(x, \xi) d\xi = \int_{f(x)}^{g(x)} \frac{\partial}{\partial x} F(x, \xi) d\xi + F(x, g(x)) \frac{\partial g(x)}{\partial x} - F(x, f(x)) \frac{\partial f(x)}{\partial x}.
\]

Applying Equation (80) to Equation (16), and substituting from Equations (47-51) and (73-78), one obtains the following expressions for the rotational flexibilities:

\[
\frac{\partial \xi_c}{\partial Q_y} = \frac{\mp |\alpha_2|}{EI} \left[ \beta_3 - s_\xi \beta_1 \right],
\]

(Equation 81)
\[
\frac{\partial \xi_c}{\partial Q_z} = \pm \frac{\alpha_3}{EI} \left[ \beta_2 - c_\xi \beta_1 \right] \left( s_\xi + \frac{\pm \alpha_3 \alpha_4 \beta_3}{1 + \alpha_3 \alpha_4 \beta_1} \right),
\]  
(82)

and

\[
\frac{\partial \xi_c}{\partial M_x} = \pm \frac{\alpha_3}{EI} \left[ \beta_1 \right] \left( s_\xi + \frac{\pm \alpha_3 \alpha_4 \beta_3}{1 + \alpha_3 \alpha_4 \beta_1} \right).
\]  
(83)

Applying Leibnitz’ Rule now to Equations (19) and (21), and substituting from Equations (81-83), one obtains the remaining six (translational) flexibility equations. The translational flexibilities are as follows:

\[
\frac{\partial y_c}{\partial Q_z} = -\frac{1}{EI} \left[ \beta_5 - s_\xi \beta_3 \right] \left( \beta_3 \right) \left( s_\xi + \frac{\pm \alpha_3 \alpha_4 \beta_3}{1 + \alpha_3 \alpha_4 \beta_1} \right),
\]  
(84)

\[
\frac{\partial y_c}{\partial Q_z} = \frac{1}{EI} \left[ \beta_5 - c_\xi \beta_3 \right] \left( \beta_3 \right) \left( s_\xi + \frac{\pm \alpha_3 \alpha_4 \beta_3}{1 + \alpha_3 \alpha_4 \beta_1} \right),
\]  
(85)

\[
\frac{\partial y_c}{\partial M_x} = -\frac{\alpha_3}{EI} \left[ \beta_3 - \beta_1 \right] \left( s_\xi + \frac{\pm \alpha_3 \alpha_4 \beta_3}{1 + \alpha_3 \alpha_4 \beta_1} \right),
\]  
(86)

\[
\frac{\partial z_c}{\partial Q_z} = \frac{1}{EI} \left[ \beta_5 - s_\xi \beta_3 \right] \left( \beta_3 \right) \left( c_\xi + \frac{\pm \alpha_3 \alpha_4 \beta_3}{1 + \alpha_3 \alpha_4 \beta_1} \right),
\]  
(87)

\[
\frac{\partial z_c}{\partial Q_z} = -\frac{1}{EI} \left[ \beta_5 - c_\xi \beta_3 \right] \left( \beta_3 \right) \left( c_\xi + \frac{\pm \alpha_3 \alpha_4 \beta_3}{1 + \alpha_3 \alpha_4 \beta_1} \right),
\]  
(88)

and

\[
\frac{\partial z_c}{\partial M_x} = \frac{\alpha_3}{EI} \left[ \beta_3 - \beta_1 \right] \left( c_\xi + \frac{\pm \alpha_3 \alpha_4 \beta_3}{1 + \alpha_3 \alpha_4 \beta_1} \right).
\]  
(89)

An example derivation of a translational flexibility is provided in the Appendix.

**END-STATE VERIFICATION**

To verify the expressions for end-state geometry [i.e., Equations (16), (19), and (21)], a set of combined end-loads \( Q_y, Q_z, \) and \( M_z \) was initially selected, for an example umbilical, with the results to be compared to those of a corresponding finite-element simulation. The loads were then varied proportionally by use of a load-magnification factor \( \lambda \) to produce a family of loading conditions for comparison purposes.

The example umbilical has a rectangular cross-section, and forms a quarter circle of radius \( \rho \) in its relaxed configuration, with assigned values for \( \rho, \) for length \( L, \) and for flexural rigidity \( EI. \) The finite-element model comprises 40 equally-sized triangular shell elements composed of a linearly elastic material of assigned Young’s modulus \( E, \) and Poisson’s ratio \( \nu. \) In particular, the selected
umbilical parameters are \( \rho = 63.662 \, \text{mm}, \, L = 100 \, \text{mm}, \, EI = 1250 \, \text{N} \cdot \text{mm}^2, \, E = 7.5 \cdot 10^{12} \, \text{N} / \text{m}^2, \) and \( \nu = 0.28. \) Its cross-sectional width is 2 mm; and its height (i.e., shell thickness), 0.1 mm. The assigned end-loads are \( Q_y = 0.01 \lambda \, \text{N}, \, Q_z = -0.01 \lambda \, \text{N}, \) and \( M_z = -25 \lambda \, \text{N} \cdot \text{mm}, \) where \( \lambda \) varies from 0.01 to 1.0, in increments of 0.01.

Calculations were made of the terminal geometry \( (y_c, z_c, \xi_c) \), from the analytical expressions [Eqs. (16), (19), and (21)], for each value of \( \lambda \), using an iterative process in which an initial guess was made for the end-angle \( \xi_c \), followed by formation of the shape kernel \( \theta \) [Eqs. (10) and (14)], and by calculation of the resulting length \( L \) [using a numerical approximation of Equation (16)]. Based on the error in \( L \), a new estimate for \( \xi_c \) was determined by adding an amount proportional to the calculated error. The iterations were continued until the percent error calculated for \( L \) was less than \( 1 \, \lambda \cdot 10^{-7} \). After convergence, the end coordinates \( (y_c, z_c) \) were calculated using Equations (19) and (21). The resulting terminal geometry is presented in Figure 2a, as a function of \( \lambda \), by solid lines.

The finite element model was then used to verify the terminal geometry calculated above, by determining the end coordinates \( (y_c, z_c) \) for the various values of \( \lambda \). The results are shown as markers in Figure 2a. The results from the analytical model (solid lines) and those from the finite-element model were found to be in excellent agreement. Figure 3 shows the initial and final configurations of the example umbilical, for \( \lambda = 1 \).
Figure 2: End-state and flexibilities versus load factor $\lambda$ for curved (¼-circle) umbilicals with flexural rigidity $EI=1250\text{N-mm}^2$, length $L=100\text{mm}$, radius of curvature $\rho = 63.662 \text{mm}$ (curvature $\kappa = -0.01571 \text{mm}^{-1}$), and end-loadings $Q_y = 0.01\lambda \text{ N}$, $Q_z = -0.01\lambda \text{ N}$, and $M_x = -25\lambda \text{ N} \cdot \text{mm}$, where $\lambda$ varies from 0.01 to 1.0 in increments of 0.01. Solid lines denote results calculated using the analytical expressions; markers denote results calculated using either the finite-element model (a) or finite-difference approximations (b, c, and d).

**FLEXIBILITY VERIFICATION**

Once the end-states had been verified, they could be used in turn to verify the flexibility equations [Eqs. (81) through (89)], by finite difference approximations. The pertinent finite difference approximations follow:

$$\frac{\partial y_c}{\partial Q_y} = \frac{y_c \bigg|_{Q_y+\Delta Q_y, Q_z, M_x} - y_c \bigg|_{Q_y, Q_z, M_x}}{\Delta Q_y} + \text{oh}(2), \quad (90)$$

$$\frac{\partial y_c}{\partial Q_z} = \frac{y_c \bigg|_{Q_y, Q_z+\Delta Q_z, M_x} - y_c \bigg|_{Q_y, Q_z, M_x}}{\Delta Q_z} + \text{oh}(2), \quad (91)$$
\[ \frac{\partial y_c}{\partial M_x} = \frac{y_c \mid_{Q_x, Q_y, M_x} + \Delta M_x}{\Delta M_x} - \frac{y_c \mid_{Q_x, Q_y, M_x}}{\Delta M_x} + \text{oh}(2), \quad (92) \]

\[ \frac{\partial z_c}{\partial Q_y} = \frac{z_c \mid_{Q_x, Q_y, M_x} + \Delta Q_y}{\Delta Q_y} - \frac{z_c \mid_{Q_x, Q_y, M_x}}{\Delta Q_y} + \text{oh}(2), \quad (93) \]

\[ \frac{\partial z_c}{\partial Q_z} = \frac{z_c \mid_{Q_x, Q_y, M_x} + \Delta Q_z}{\Delta Q_z} - \frac{z_c \mid_{Q_x, Q_y, M_x}}{\Delta Q_z} + \text{oh}(2), \quad (94) \]

\[ \frac{\partial z_c}{\partial M_y} = \frac{z_c \mid_{Q_x, Q_y, M_x} + \Delta M_y}{\Delta M_y} - \frac{z_c \mid_{Q_x, Q_y, M_x}}{\Delta M_y} + \text{oh}(2), \quad (95) \]

\[ \frac{\partial \xi_c}{\partial Q_y} = \frac{\xi_c \mid_{Q_x, Q_y, M_x} + \Delta Q_y}{\Delta Q_y} - \frac{\xi_c \mid_{Q_x, Q_y, M_x}}{\Delta Q_y} + \text{oh}(2), \quad (96) \]

\[ \frac{\partial \xi_c}{\partial Q_z} = \frac{\xi_c \mid_{Q_x, Q_y, M_x} + \Delta Q_z}{\Delta Q_z} - \frac{\xi_c \mid_{Q_x, Q_y, M_x}}{\Delta Q_z} + \text{oh}(2), \quad (97) \]

and

\[ \frac{\partial \xi_c}{\partial M_x} = \frac{\xi_c \mid_{Q_x, Q_y, M_x} + \Delta M_x}{\Delta M_x} - \frac{\xi_c \mid_{Q_x, Q_y, M_x}}{\Delta M_x} + \text{oh}(2). \quad (98) \]

The finite-difference approximations to the flexibilities [Eqs. (90) through (98)] were calculated over the selected range of values for \( \lambda \), using the verified end-states that had been calculated previously. The value chosen for each of the increments \( \Delta Q_y, \Delta Q_z, \) and \( \Delta M_x \) corresponds to a 0.01% change from the respective nominal value. Finite-difference results for the flexibilities are shown as markers in Figures 2b, c, and d. The analytical models (shown by solid lines) are seen to be in excellent agreement with the finite-difference calculations.
Figure 3: Finite-element model of the example umbilical defined in the caption of Fig. 2. The physical umbilical modeled has a rectangular cross-section of width 2mm and height (i.e. shell thickness) of 0.1mm. The finite-element discretization comprises 40 equally-sized triangular shell elements composed of a linearly elastic material, defined by Young’s modulus $E = 7.5E+12$ N/m² and Poisson’s ratio $\nu = 0.28$. The resulting $EI$ of the model equals $1250$ N-mm², as required. Shown is the model in its undeformed state (a) and deformed state (b), after a loading corresponding to $\lambda = 1.0$. The analysis was carried out using the nonlinear solver incorporated into the commercial package COSMOS/DesignStar.
IMPLICATIONS FOR UMBILICAL DESIGN

The foregoing equations can be used as an aid for designing umbilicals to minimize stiffness. First, the flexural rigidity can be used to adjust all stiffnesses by a common factor. Since all of the $\alpha_i$’s and $\beta_i$’s are invariant with flexural rigidity, the square-bracketed factors of the flexibility equations, Equations (56) through (64), are all independent of flexural rigidity. It is evident from these equations, then, that reductions in $EI$ will produce proportional reductions in all terminal loads and in-plane stiffnesses. Second, for given umbilical length $L$, and endpoint conditions $\xi_c$, $y_c$, and $z_c$, Equations (16), (19), and (21) can be solved for the loads $Q_y$, $Q_z$, and $M_x$. These loads can be determined and used iteratively in the flexibility equations to optimize the umbilical flexibilities (or, equivalently, the corresponding stiffnesses) using $L$ as a parameter. Third, the umbilical designer can use these equations to determine optimal $L$, $\xi_c$ combinations. Although the angle $\xi_c$ is fixed at about 225 deg for ARIS (in the home, or centered, position); $L$ and $\xi_c$ optimization could suggest better angles for future designs.

CONCLUSION

This research has presented equations for the shape and flexibilities of a slender umbilical on orbit, that is, such that gravity can be neglected, under general, terminal, in-plane loading conditions of sufficient magnitude to cause large deformations. The relaxed (preloaded) umbilical was assumed to be curved, with monotonically varying slope, and to have a uniform cross section. The loaded umbilical is assumed to undergo no plastic deformation. All in-plane stiffnesses were shown to be proportional to the flexural rigidity $EI$. The geometric end-state equations and umbilical flexibility expressions were verified numerically for an initially circular umbilical, subject to in-plane end-loading conditions. An approach was offered for using umbilical length and terminal geometry (endpoint locations and slopes) to optimize these umbilical stiffnesses. The basic geometric equations for an initially straight cantilever were shown to reduce to previously published results for special loading conditions.
APPENDIX: SAMPLE DERIVATION OF A FLEXIBILITY EQUATION

From Equation (19), the \( y \)-coordinate of terminal point \( C \) is

\[
y_c = \int_{\xi_c}^{2\pi} \theta^{-1} s_\xi d\xi,
\]

(19, A-1)

where

\[
\theta(\xi) = \left[ 2\alpha_1 (s_\xi - s_{\xi_c}) - 2\alpha_2 (c_\xi - c_{\xi_c}) + (\alpha_3^2 + \alpha_4) \right]^{1/2},
\]

(60, A-2)

for

\[
\alpha_1 = \frac{Q_x}{EI},
\]

(47, A-3)

\[
\alpha_2 = \frac{Q_z}{EI},
\]

(48, A-4)

\[
\alpha_3 = \frac{M_x}{EI} + \kappa_c',
\]

(49, A-5)

and

\[
\alpha_5 = \left( \kappa' \right)^2 - \left( \kappa_c' \right)^2.
\]

(51, A-6)

Taking the partial derivative of Equation (A-1) with respect to \( Q_y \), one has

\[
\frac{\partial y_c}{\partial Q_y} = \frac{\partial}{\partial Q_y} \left( \int_{\xi_c}^{2\pi} \theta^{-1} s_\xi d\xi \right).
\]

(A-7)

Applying Leibnitz’ Rule,

\[
\frac{\partial y_c}{\partial Q_y} = \int_{\xi_c}^{2\pi} \frac{\partial}{\partial Q_y} \left( \theta^{-1} s_\xi \right) d\xi + \left. \frac{\partial}{\partial Q_y} \right|_{\xi = 2\pi} \left( \theta^{-1} s_\xi \right) - \left. \frac{\partial}{\partial Q_y} \right|_{\xi = \xi_c} \left( \theta^{-1} s_\xi \right) \frac{\partial \xi_c}{\partial Q_y}.
\]

(A-8)

The right-hand side of this equation will next be evaluated term by term.

The integrand of the first right-hand-side term is

\[
\frac{\partial}{\partial Q_y} \left( \theta^{-1} s_\xi \right) = -s_\xi \theta^{-3} \left[ \frac{1}{EI} (s_\xi - s_{\xi_c}) - \left( \alpha_1 c_\xi + \alpha_2 s_\xi \right) \right],
\]

(A-9)

so that the integral itself becomes

\[
\int_{\xi_c}^{2\pi} \frac{\partial}{\partial Q_y} \left( \theta^{-1} s_\xi \right) d\xi = -\frac{1}{EI} \left( \int_{\xi_c}^{2\pi} s_\xi^{-2} d\xi - \int_{\xi_c}^{2\pi} s_\xi^{-1} d\xi \right) \left( \alpha_1 c_\xi + \alpha_2 s_\xi \right) \frac{\partial \xi_c}{\partial Q_y}.
\]

(A-10)

The second right-hand-side term of Equation (A-8) is zero, since \( 2\pi \) is a constant.
The third right-hand-side term is

$$-\left(\theta^{-1} S_{y}^{-1}\right)_{\xi \to \xi_{c}} \frac{\partial \xi_{c}}{\partial Q_{y}} = -\left(\pm |\alpha_{3}|^{-1} s_{y}^{-1}\right) \frac{\partial \xi_{c}}{\partial Q_{y}},$$  \hspace{1cm} (A-11)$$

where the sign accompanying $|\alpha_{3}|^{-1}$ is that of slope $d\xi/ds$. By similar application of Leibnitz’ Rule to the equation

$$L = -\int_{\xi_{c}}^{2\pi} \theta^{-1} d\xi, \hspace{1cm} \text{(16, A-12)}$$

one has

$$\frac{\partial \xi_{c}}{\partial Q_{y}} = \frac{\alpha_{3}}{EI} \left[ \int_{\xi_{c}}^{2\pi} \theta^{-3} s_{y} d\xi - \int_{\xi_{c}}^{2\pi} \theta^{-3} d\xi \right] \cdot \frac{1}{1 \pm |\alpha_{3}| \int_{\xi_{c}}^{2\pi} \theta^{-3} d\xi}. \hspace{1cm} \text{(A-13)}$$

Substituting from Equations (A-9), (A-11), and (A-13) into Equation (A-8), and using Equations (73), (75), (78) to re-express the result in terms of the $\beta_{i}$’s, one obtains the form given in Equation (84):

$$\frac{\partial y_{c}}{\partial Q_{y}} = \frac{-1}{EI} \left[ \left(\beta_{3}^{-1} \xi_{c}^{-1}\beta_{3}\right) - \left|\beta_{3}^{-1} \xi_{c}^{-1}\beta_{3}\right| \left(\frac{s_{y}^{-1} |\alpha_{3}| \beta_{3}}{1 \pm |\alpha_{3}| \beta_{3}}\right) \right]. \hspace{1cm} \text{(84, A-14)}$$

The other flexibility equations can be determined analogously.
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Deformation and Flexibility Equations for ARIS Umbilicals Idealized as Planar Elastica

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